

# Prabir Barooah

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## DOB AND CITIZENSHIP

- **Date of Birth:** February 24, 1975
- **Citizenship:** India.

## EMPLOYMENT

- **Professor:** University of Florida, Gainesville, FL., Aug. 16, 2019 - present. (Primary department: Mechanical and Aerospace Engineering. Affiliate faculty with the Dept. of Electrical and Computer Engineering)
- **Associate Professor:** University of Florida, Gainesville, FL., Aug. 16, 2013 - Aug. 16, 2019.
- **Assistant Professor:** University of Florida, Gainesville, FL., Sept. 15, 2007 - Aug. 16, 2013.
- **Associate Research Engineer:** United Technologies Research Center, East Hartford, CT. Oct. 29, 1999 – Nov 1, 2002.
- **Trainee Engineer:** Tata Engineering and Locomotive Company, Jamshedpur, India. July/1996 – May/1997.

## EDUCATION

- **University of California Santa Barbara:**  
Ph.D. in Electrical and Computer Engineering, Jan./2003 – July/2007. (degree awarded: 14 September, 2007)  
Thesis: *Distributed Estimation and Control with Relative Measurements: Algorithms and Scaling Laws*. Advisor: Prof. João P. Hespanha.
- **University of Delaware:**  
M.S. in Mechanical Engineering, Aug./1997 – June/1999. (degree awarded: 8 January, 2000)  
Thesis: *Lineal Sensor for Flow Sensing and Optimal Control of Flow Progression in Resin Transfer Molding*. Advisor: Prof. Jian-Qiao Sun.
- **Indian Institute of Technology, Kanpur:**  
B. Tech. in Mechanical Engineering, Aug/1992 – April /1996 (degree awarded: 20th May, 1996).

## RESEARCH

- **Application: Energy efficiency, renewable energy integration, and resiliency:** (a) Developing control algorithms and systems for extracting virtual energy storage (VES) from loads by manipulating their demand, to balance intermittency of solar and wind. (b) Improving energy efficiency of buildings and occupant comfort through advanced control of heating, ventilation and air conditioning (HVAC) systems. (c) Resiliency to natural disasters through intelligent control of distributed energy resources.
- **Theory: Data-driven learning and control, decentralized control:** Algorithms for autonomous control using data-driven learning, coordinated control of networked systems consisting of large number of sub-systems.

## TEACH- ING/ADVISING

- **Graduate courses:** EML 6934 (*Non Linear Programming*) in Spring 2022, EML 6352 (*Optimal Estimation and Kalman Filtering*) in Fall 2018, Fall 2016, Spring 2014,

Fall 2011, Fall 2009 & Spring 2008, EML 5311 (*Control Systems Theory*) in Spring: 2022, 2021, 2020, 2019, 2018, 2017, 2015, 2012, 2011, & 2010, EML 6934 (*System Identification*) in Spring 2013 & Fall 2008, EML 6934 (*Buildings and Energy*) in Fall 2014, and EGM 6936 (*Graduate Seminar*) in Fall 2011 & Spring 2012.

- **Undergraduate courses:** EML 4314C (*Dynamics and Controls System Design Laboratory*) in Fall 2021, Fall 2020, Fall 2019, EML 4312 (*Control of Mechanical Engineering Systems*) in Spring 2019, Fall 2017, Fall 2016, Fall 2014, Spring 2014, Fall 2013, Fall 2012, Fall 2010 & Spring 2009, EML 4930 (*System Identification*) in Spring 2013 & Fall 2008.
- **Graduate Student Mentoring:** I currently individually supervise two Ph.D. students:
  2. Austin Coffman (topic: Coordination of thermostatically controlled loads for demand side services to the power grid, expected graduation date: Dec. 2021.)
  1. Zhong Guo (topic: Model-based and model-free optimal control of HVAC systems, expected graduation date: Dec. 2022.)

I have individually supervised nine Ph.D. students:

9. Naren Raman, dissertation title: “Energy-efficient control of commercial building HVAC systems and analysis for grid support”, graduation date: April 2021.
8. Kendall Parker, dissertation title: “Smart grid advancements toward enhanced meter privacy and grid reliability”, graduation date: April 2021.
7. Tingting Zeng, dissertation title: “Autonomous data-driven control of building HVAC systems”, graduation date: April 2021.
6. Jonathan Brooks, dissertation title: “Distributed Coordination of Smart Loads to Provide Ancillary Service to the Power Grid”, graduation date: December 2017.
5. Yashen Lin, dissertation title: “Application of commercial building HVAC systems for power grid ancillary service”, graduation date: August 2014.
4. Siddharth Goyal, dissertation title: “Modeling and control to improve energy efficiency of buildings”, graduation date: August 2013.
3. Joseph Knuth, dissertation title: “A study of single and multi-robot localization: a manifolds approach”, graduation date: May 2013.
2. Chenda Liao, dissertation title: “Distributed time synchronization from relative measurements in mobile wireless sensor networks”, graduation date: May 2013.
1. He Hao, dissertation title: “Distributed control of large multi-vehicle teams”, graduation date: August 2012.

and co-supervised one

1. Siddhartha Mehta, dissertation title: “A daisy-chaining approach for vision-based control and estimation”, graduation date: August 2010. Chair: Prof. Warren Dixon.

I have individually supervised two M.S. students:

1. Rahul Subramany, thesis title: “Wireless sensor networks for HVAC control”, graduated May, 2013.
2. Saket Kumar, “Scalable wireless sensor network for HVAC control”, graduated May, 2014.

I have served/serving on a total of 73 Ph.D supervisory committees and 15 M.S. supervisory committees.

Among the nine PhD students under my supervision who have already graduated,

two have won the Best Dissertation of the Year Award among Dynamic Systems and Controls group PhDs in MAE at UF (He Hao in 2013 and Siddharth Goyal in 2015).

- **Undergraduate Student Mentoring:** I currently advise two undergraduate researchers in conducting research:
  1. Joseph Rosenberger (topic: IoT network for smart building control. Joseph has co-authored a paper “Personal Comfort Node: Prototyping of a Comfort Sensor for HVAC Control”, *UF Journal of Undergraduate Research*, 2020. Supported by NSF REU).
  2. Christopher Crouch (topic: Smart Fridge prototype for power grid ancillary service. Chris is an University Scholar, 2021-2022.).

Since joining University of Florida in 2007, I have advised many undergraduate students in conducting original research. Six of these research projects have led to Honors Theses: B. Hood, B. Huval, J. Brooks, M. Goff, G. Armanious, B. Herrald. and several were selected as University Scholars by the University of Florida’s University Scholar Program that supports undergraduate research: G. Armanious, B. Herrald, and C. Crouch. These mentoring activities have led to two peer-reviewed journal articles (one by Bryan Hood and another by Jordan Ehren), one Knox Millsaps Outstanding Undergraduate Technical paper award (Bryan Hood, 2012), and a 3rd prize in UF’s MAE Undergraduate Research Symposium (George Armanious, Spring 2014).

#### AWARDS

- **Research Promotion Initiative award, February 2021**, awarded by University of Florida’s Office of Strategic Communications & Marketing for the paper, “Reinforcement Learning-Based Home Energy Management System for Resiliency”, to be presented at the American Control Conference, May 2021.
- **University of Florida Term Professor, 2021- 2024, and 2018- 2020**, by the University of Florida in recognition of excellence in teaching, research, and service.
- **MAE Excellence Term Professor, 2021- 2024**, by Mechanical and Aerospace Engineering Department, University of Florida, in recognition of excellence in teaching, research, and service.
- **FSMP visiting fellowship, 2017**, Awarded by the Fondation Sciences Mathématiques de Paris (FSMP), for a two-month long research visit in 2017 (May-June) to Inria, Paris, to work in collaboration with Ana Búsič on distributed control.
- **Endeavour Executive Fellowship, 2016**, Awarded by the Dept. of Education and Training, Government of Australia, to undertake collaborative research on energy efficiency and renewable energy in Australia for a period of three months in 2016 in an Australian institution of higher education.
- **ASEE-SE (American Society of Engineering Education - South East Section)’s New Faculty Research Award, 1st place, 2012**, Awarded annually by ASEE-SE section.
- **National Science Foundation (NSF) Faculty Early Career Development (CA-REER) award, 2010**, “Distributed Estimation and Control for Energy Efficient Buildings”.
- **General Chair’s Recognition Award for Interactive Papers**, IEEE Conference on Decision and Control, December, 2009, for the paper “P. Barooah and P. G. Mehta, Optimal Mistuning for Improved Stability Margin of Vehicular Platoons, In Proc. of 48th IEEE Conf. on Decision and Control, pp. 3020-3025”.
- **Best Paper Award**, 2nd International Conference on Intelligent Sensing and Information Processing (ICISIP), 2005, for the paper “P. Barooah and J. P. Hespanha, Estimation From Relative Measurements : Error Bounds from Electrical Analogy, In Proc. of the 2nd ICISIP, 2005, pp. 88-93”.

PROFESSIONAL  
SOCIETY  
ACTIVITIES

- **NASA Group Achievement Award**, for successful demonstration of active aero-engine instability control, 2003 (as part of the Active Combustion Control Team comprised of scientists from United Technologies Research Center and NASA).
- **Associate Editor**, IEEE Transactions on Control of Network Systems (July 2018-), International Journal of Distributed Sensor Networks (April 2010 - April 2018).
- **Member** IEEE, ASME, ASHRAE, ASEE. Senior member of IEEE from April 2021.
- **Associate Editor for contributed papers**, IEEE Conference on Decision and Control (2019, 2020, 2021) and IEEE Conference on Control Technology and Applications (2019, 2020, 2021), and American Control Conference (2020 and 2021).
- **Associate Editor for invited papers**, American Control Conference, 2019, 2020 and 2021.
- **Member, International Program Committee**, Indian Control Conference, 2019 and 2020.
- **Program committee member**, International Conference on Cyber Physical Systems (ICCPs), 2014 and 2015.
- **Program committee member**, IEEE Multi-conference on Systems and Control, 2014.
- **Technical program committee member**, BuildSys'13 (4th ACM Workshop On Embedded Systems For Energy-Efficiency In Buildings).
- **Publicity Chair** for ACM/IEEE International Conference on Cyber-Physical Systems (ICCPs) 2013.
- **Technical program committee member**, BuildSys'12 (4th ACM Workshop On Embedded Systems For Energy-Efficiency In Buildings).
- **Member**, IEEE Control Systems Society Technical Committee on Power Generation Control (Energy Utilization), Jan 2012 - present
- **Member**, IEEE Computer Society Smart Grid Vision Committee, Oct. 2011 - 2014.
- **Program Committee Member**, 2010 IEEE Conference on Decision and Control.
- **Co-organizer of special sessions** in several IEEE Conference on Decision and Control and American Control Conference.

PLENARY  
LECTURES

- “Improving Energy Efficiency and Grid Friendliness of Buildings”, 2nd International Congress on Energy Efficiency and Energy Related Materials, Oludeniz, Turkey, Oct 17, 2014.

INVITED TALKS

- “How to Convert the Air Conditioners in a City into a Giant Battery to Help Integrate Wind and Solar Energy”, International Virtual Workshop on Smart cities: A Road Map for Future Development, Jan 10-12, BITS Pilani, Hyderabad, India.
- “Can a building provide both energy efficiency and demand flexibility?”, IEEE Power and Energy Society General Meeting, 2021, panel presentation in “The Interplay Between Energy Efficiency and Demand Response for Smart Buildings: Implications for Power Systems - Part II”, July 29, 2021.
- “Capacity of grid interactive buildings to provide virtual energy storage”, in *Building Technologies office, U. S. Department of Energy*, Washington, D.C. (delivered online), November 10, 2020.
- “Capacity Characterization of On/Off and Variable Flexible Loads Providing Virtual Energy Storage”, in *Autonomous Energy Systems Workshop, National Renewable Energy Laboratory*, (delivered online), August 19-20, 2020.
- “Capacity of flexible residential loads to provide battery-like services to help mitigate volatility of renewable energy sources”, in *Dept. of Mechanical Engineering, University of California, Merced, CA*, November 15, 2019.

- “Model-free control of commercial HVAC systems using Artificial Intelligence”, in *Oak Ridge National Laboratory*, Oak Ridge, TN, October 25, 2019.
- “Autonomous Buildings: Data-Driven Control of HVAC systems”, in *Energy and Efficiency Institute, Univ. of California*, Davis, CA, June 11, 2019
- “Frequency-domain characterization of demand flexibility for grid support”, in *Lawrence Berkeley National Laboratory*, Berkeley, CA, June 10, 2019.
- “Coordination of distributed energy resources for the smart power grid without inter-agent communication”, in *Center for Control, Dynamical Systems and Computation, University of California*, Santa Barbara, CA, June 7, 2019.
- “Distributed control of residential and commercial HVAC loads for Virtual Energy Storage”, in *Workshop on Innovative Optimization and Control Methods for Highly Distributed Autonomous Systems*, National Renewable Energy Laboratory, Golden, CO. April 11, 2019.
- “Control of building demand for energy efficiency and grid support services”, in *Grid Science Winter School & Conference*, Santa Fe, NM, January 9, 2019.
- “Simultaneous Identification of Linear Building Dynamic Model and Disturbance Using Sparsity-Promoting Optimization”, in workshop on Big Data Science and Engineering Research, *ASME Dynamic Systems and Control Conference*, Sept. 30, 2018.
- “Ancillary services from large commercial buildings”, *Intelligent Building Operation Workshop* in the High Performance Buildings Conference, Purdue University, Indiana, July 8, 2018.
- “Control of Flexible Loads to Provide Virtual Energy Storage”, in *Energy Storage and Responsive Loads for Grid Resiliency Meeting*, Seattle, organized by Pacific Northwest National Laboratories, March 27, 2018.
- “Robust Distributed Coordination of Loads for providing Virtual Energy Storage”, School of Computer Science, Florida International University, Miami, Florida, USA, Sept. 29, 2017.
- “Distributed coordination of residential loads with randomized control to provide Virtual Energy Storage”, in *Robert Bosch Center for Cyber Physical Systems, Indian Institute of Science*, Bangalore, India, July 25, 2017
- “Virtual energy storage for solar and wind with distributed coordination of smart devices”, in *CPS symposium, Indian Institute of Science*, Bangalore, India, July 19, 2017.
- “Distributed coordination of air-conditioning loads to provide demand-side flexibility to the power grid”, in *Laboratory for Information, Networking, and Communication Sciences, (LINCS)*, Paris, France, June 7, 2017.
- “Distributed control of loads to provide virtual energy storage for renewable integration”, in *Smart Grid Workshop, American Control Conference*, July 5, Boston, MA, USA, 2016.
- “Distributed control of loads to provide virtual energy storage for renewable integration”, in *University of Melbourne*, Melbourne, Australia, May 13, 2016.
- “Demand side management for creating virtual energy storage from flexible loads”, in *University of Sydney*, Sydney, Australia, May 11, 2016.
- “Virtual storage of intermittent renewable energy by intelligent loads”, in *Queensland University of Technology*, Brisbane, Australia, May 6, 2016.
- “Helping grid-integration of intermittent renewable energy sources with intelligent loads”, in *University of Queensland*, Brisbane, Australia, April 26, 2016.
- “A renewable energy rich future: are we there yet?”, in *Daytona State College*, Daytona, FL, USA, Nov. 30, 2015.

- “Virtual storage of solar and wind energy by intelligent loads”, in *Cornell University*, Ithaca, NY, USA, Oct 6, 2015
- “Virtual storage of solar and wind energy by intelligent loads”, in *Rensselaer Polytechnic Institute*, Dept. of Mechanical Engineering, Troy, NY, USA, Oct. 8, 2015,
- “Virtual storage of solar and wind energy by intelligent loads”, in *Jorhat Engineering College*, Jorhat, Assam, India, Sept. 26, 2015.
- “Virtual storage of solar and wind energy by intelligent loads”, in *Numaligarh Refinery Ltd.*, Numaligarh, Assam, India, Sept. 24, 2015.
- “HVAC loads can be used as virtual batteries. Pay attention to bandwidth, though”, in *Center for Nonlinear Studies*, Los Alamos National Laboratory, Los Alamos, NM, USA, December 17, 2014.
- “Inexpensive Ancillary Service from Automated Load Tuning”, in *NSF workshop on Future of Control, Communication and Signal Processing in Power*, Washington D.C., USA, November 2013.
- “Flying the electric grid through wind and Sun”, in *Dept. of Electrical and Computer Engineering, University of Florida*, Gainesville, FL, USA, Halloween, 2013.
- “Making buildings smarter, providing energy efficiency and grid friendliness through control,”, in *Indian Institute of Technology*, Guwahati, India, Nov 2, 2012.
- “Making buildings smarter, providing energy efficiency and grid friendliness through control,”, in *Dept. of Mechanical Engineering, Indian Institute of Technology*, Mumbai, India, Oct 30, 2012.
- “Occupancy based control of building HVAC: a cyber physical system”, in *Chemical Engineering, University of California*, Los Angeles, May 17, 2012.
- “Occupancy based control of building HVAC: a cyber physical system”, in *Mechanical and Aerospace Engineering, University of California*, San Diego, CA, USA, May 11, 2012.
- “Improving robot localization by using robot-to-robot relative measurements in cooperative multi-robot teams”, in *Control and Dynamical Systems program, CalTech*, Pasadena, CA, USA, May 10, 2012.
- “Control and Identification Problems for Energy Efficient Buildings”, in *Center for Control, Dynamical Systems and Computation, University of California*, Santa Barbara, CA, USA, April 13, 2012.
- “Improving Building Energy Efficiency through Control (and Modeling)”, Nov 18, 2011, Dept. of Mechanical Engineering and Mechanics, Lehigh University, Bethlehem, PA, USA.
- “Improving Building Energy Efficiency: Modeling and Control issues”, 2011 symposium on emerging topics in control and modeling: Cyber Physical Systems, October 20-21, 2011, University of Illinois, Urbana-Champaign, IL, USA.
- “Collaborative localization of multiple vehicles from relative measurements”, Oct. 6, Dept. of Aerospace Engineering, Texas A & M University, College Station, TX, USA.
- “Energy efficient buildings through distributed estimation and control”, 2nd summer school on Cyber Physical Systems, June 23-25, 2010, Georgia Tech, Atlanta, GA. USA
- “Stability and robustness issues in decentralized formation control”, Mathematics and Statistics Dept. , Oregon State University, Portland, OR, USA, Feb 19, 2010.
- “Scaling laws and algorithms for estimation and control with relative measurements”, November, 2007, Dept. of Mathematics, Indian Institute of Technology, Guwahati, India.

- “Estimation and Control from Relative Measurements : Algorithms and Error Scaling Laws”, Aug. 14, 2006, United Technologies Research Center, East Hartford, CT, USA.

	Total	Barooah’s portion
As PI	4.35	2.65
As Co-PI	3.16	0.2
Combined	7.51	2.85

Summary of funding (in million \$’s).

FUNDED PROJECTS

- **As PI**

1. “Characterizing capacity of controllable DERs to provide energy storage service to the power grid”, July 2021 - June 2024 (NSF). PI: Prabir Barooah. Total award:\$ 369,000. Barooah’s portion: \$ 369,000.
2. “Autonomous Control of Building HVAC Systems”, Sept. 2019 - Aug. 2022 (NSF). PI: Prabir Barooah. Total award:\$ 450,000. Barooah’s portion: \$ 450,000.
3. Data science supplement to “Autonomous Control of Building HVAC Systems”, Sept. 2019 - Aug. 2022 (NSF). PI: Prabir Barooah. Total award:\$ 70,000. Barooah’s portion: \$ 70,000.
4. Supplement to existing NSF grant “Methods for dynamic network identification with application to the control of smart buildings”, through NSF’s INTERN program, to support a PhD student of the PI (Ms. Tingting Zeng) for an internship at an industry, Jan. 2020- Jun. 2020. PI: Prabir Barooah. Total award:\$ 54,000. Barooah’s portion: \$ 54,000.
5. “Self-running buildings: An autonomous system for reducing energy consumption and increasing demand flexibility of commercial buildings in hot-humid climates”: Dec. 2017- Dec. 2019 (Florida Dept. of Agr. & Cons. Services, Renewable Energy and Energy Efficiency (REET) program). PI: Prabir Barooah, co-PIs: Sean Meyn, Grant funds: 400,000. Barooah’s portion: \$ 318,000.
6. “CPS: Synergy: Distributed coordination for a smarter and sustainable power grid”: Oct. 2016- Oct. 2019 (NSF). PI: Prabir Barooah, co-PIs: Sean Meyn and Arturo Bretas (UF). Total award: \$ 750,000. Barooah’s portion: \$ 300,000.
7. “Methods for dynamic network identification with application to the control of smart buildings”, 2015-2018 (NSF). PI: Prabir Barooah. Total award (= Barooah’s portion) \$300,000.
8. “Buildings and Energy: Design and Operation Vs. Sustainability: An Energy Engineering Course Development for Florida-specific Building Design”: 2014-2015 (Florida Energy Systems Consortium), PI: Prabir Barooah, co-PIs Duzgun Agdas and Ravi Srinivasan. Total award: : \$67,960, Barooah’s portion: ~ \$20,000.
9. “CAREER: Distributed Estimation and Control for Energy Efficient Buildings” 2010-2015 (NSF). PI: Prabir Barooah. Total award (=Barooah’s portion): \$400,000 over 5 years.
10. “CPS: Medium: Collaborative Research: GOALI: Methods for Network-Enabled Embedded Monitoring and Control for High-Performance Buildings”, 2010-2013 (NSF). PI: Prabir Barooah, co-PIs: Alberto Speranzon (United Technologies), Prashant Mehta and Sean Meyn (UIUC), Luca Carloni (Columbia). Total award: \$1,500,000. Barooah’s portion: \$375,000.

11. REU supplements from NSF totaling \$32,000. REU stands for Research Experience for Undergraduates.

- **As co-PI**

1. “Virtual Batteries” (Grid Modernization Lab Consortia, DOE Building Technologies Office, 2016-2018). lead: PNNL. UF PI: P. Barooah. Total award: \$3,000,000. Barooah’s portion: \$ 100,000.
2. “Florida Driver Assistive Truck Platooning Analysis”, 2/17-9/17 (Fl Dept of Transportation). Total award : \$75,000. PI: C. Crane, Barooah’s portion: \$ 15,000.
3. “GeoTrack: Target Localization and Tracking by Networked Layers of Unmanned Systems”, 2009-2010. (ARO/ICB). PI: Joao Hespanha, co-PI: Gaemus Collins, Prabir Barooah. Barooah’s portion: \$85,000.

REVIEWER  
INFORMATION

- **Journals:** Reviewer for *Sustainable Energy, Grids and Networks, IEEE Transactions on Automatic Control, IEEE Transactions on Signal Processing, IEEE Transactions on Smart Grid, IEEE Transactions on Control of Network Systems, IEEE Transactions on Power Systems, Automatica, IEEE Transactions on Control System Technology, IEEE Transactions on Automation Science and Engineering, IEEE Control Systems Letters, Proceedings of the IEEE, IEEE Transactions on Industrial Informatics, International Journal of Robust and Nonlinear Control, Journal of Parallel and Discrete Mathematics, International Journal of Engineering Science, ASME Journal of Dynamic Systems, Measurement, and Control, IFAC Reviews, IEEE Journal of Selected Areas of Communication, ASME Journal of Aerospace Engineering, Computer Communications, Transportation Research, IEEE Transactions on Intelligent Transportation Systems, IEEE Intelligent Transportation Systems Magazine, International Journal of ggoo Robotics and Automation, Energy and Buildings, Building and Environment, Building Simulation, Applied Energy, Energy Research and Social Science, International Journal of Electrical Power and Energy Systems, Joule, IEEE Access, Stochastic Systems.*
- **Conference Proceedings** Reviewer for *American Control Conference, IEEE Conference on Decision and Control, IEEE Conf. on Control Technology and Applications, IEEE Multi Conference on Systems and Control, International Conference on Robotics and Automation, IFAC Workshop, Indian Control Conference, IEEE International Conference on Control, Measurement and Instrumentation*, and many others.
- **NSF Panels** Served as a panelist in the following NSF review panels:
  1. 2021: panelist in one panel.
  2. 2020: panelist in one panel.
  3. 2018: panelist in one panel.
  4. 2018: panelist in one panel.
  5. 2017: panelist in one one panel.
  6. 2016: panelist in one panel.
  7. 2015: panelist in one panel.
  8. 2014: panelist in three panels.
  9. 2012: panelist in one panel.
  10. 2011: panelist in one panel.
  11. 2010: panelist in two panels.
  12. 2008: panelist in two panels.
- **DOE Peer Review** Served as a Peer Reviewer in DOE’s Building Technology Office’s Peer Review program, in 2015, 2017, and 2018.



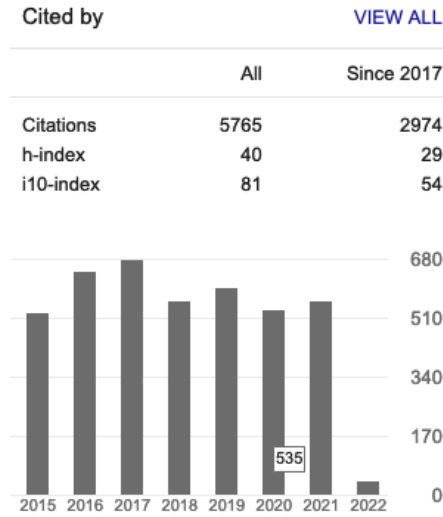
*Prabir Barooah*

- **DOE TCF Review** Served as a Reviewer for DOE's Technology Commercialization Fund program in 2018 and 2019.
- **DOE SBIR** Served as reviewer for BTO's and Solar Energy Technology Office (SETO)'s SBIR proposals, 2017.
- **ORAU Ralph Powe Junior Faculty Award**, 2018, 2019.
- **BTO GEB report** Served as an expert reviewer to the DEO BTO's report on the report on Grid-interactive Efficient Buildings, 2019.

## PUBLICATIONS

## • Web

1. Google scholar page (Google scholar h-index 40; citation statistics from Google Scholar, recorded on January 2022, are shown below.)



## • Journal articles (published/in press)

†: Graduate student I supervise(d)

\*: Undergraduate student I supervise(d)

‡: Graduate student I co-supervise(d)

51. Kendall Parker<sup>†</sup>, Matthew Hale, and Prabir Barooah, “Spectral Differential Privacy: Application to Smart Meter Data”, *IEEE Internet of Things Journal*, doi: 10.1109/JIOT.2021.3107770, 25 August, 2021 (early access).
50. Tingting Zeng<sup>†</sup> and Prabir Barooah, “An adaptive MPC scheme for energy-efficient control of building HVAC systems”, *ASME Journal of Engineering for Sustainable Buildings and Cities*, 2(3): 031001, 12 pages, 2021.
49. Naren Srivaths Raman<sup>†</sup>, Rahul Umashankar Chaturvedi<sup>†</sup>, Zhong Guo<sup>†</sup>, Prabir Barooah, “Model Predictive Control-Based Hierarchical Control of a Multi-Zone Commercial HVAC System”, *ASME Journal of Engineering for Sustainable Buildings and Cities*, 2(2): 021005 (12 pages), May 2021.
48. Tingting Zeng<sup>†</sup>, Jonathan Brooks<sup>†</sup>, and Prabir Barooah, “Simultaneous identification of linear building dynamic model and disturbance using sparsity-promoting optimization”, *Automatica*, Volume 129, pp. 109631 (7 pages), July 2021.
47. A. Coffman<sup>†</sup>, Z. Guo<sup>†</sup> and P. Barooah, “Characterizing capacity of flexible loads for providing grid support”, in *IEEE Transactions on Power Systems*, 36(3), pp. 2428 - 2437, May 2021.
46. Kendall Parker<sup>†</sup> and Prabir Barooah, “A probabilistic method for reserve sizing in power grids with high renewable penetration”, *IEEE Transactions on Power Systems*, 36(3), pp. 2473 - 2480, May 2021.
45. Zhong Guo<sup>†</sup>, Austin Coffman<sup>†</sup>, Jeffrey Munk, Piljae Im, Teja Kuruganti, and Prabir Barooah, “Aggregation and data driven identification of building thermal dynamic model and unmeasured disturbance”, *Energy and Buildings*, vol. 231, pp. 110500 (9 pages), January 2021.

44. Duzgun Agdas and Prabir Barooah, "Impact of the COVID-19 pandemic on the U.S. electricity demand and supply: an early view from data", *IEEE Access*, vol. 8, pp. 151523-151534 (14 pages), August, 2020.
43. Naren Raman<sup>†</sup>, Karthikeya Devaprasad<sup>†</sup>, Bo Chen<sup>†</sup>, Herbert Ingle, and Prabir Barooah, "Model predictive control for energy-efficient HVAC operation with humidity and latent heat considerations", *Applied Energy*, Vol. 279, pp. 115765, Dec. 2020.
42. Tingting Zeng<sup>†</sup> and Prabir Barooah, "Identification of network dynamics and disturbance for a multi-zone building", *IEEE Transactions on Control System Technology*, 28 (5), pp. 2061 - 2068, 2020.
41. Naren S. Raman<sup>†</sup> and Prabir Barooah, "On the round-trip efficiency of an HVAC-based virtual battery", *IEEE Transactions on Smart Grid*, 18 June, 2019, doi: 10.1109/TSG.2019.2923588.
40. Jonathan Brooks<sup>†</sup> and Prabir Barooah, "Coordination of Loads for Ancillary Services With Fourier Domain Consumer QoS Constraints", *IEEE Transactions on Smart Grid*, January 2019, doi: 10.1109/TSG.2019.2897231.
39. Jonathan Brooks<sup>†</sup>, Rodrigo T. Trevizan, Prabir Barooah, and Arturo Bretas, "Analysis and Evaluation of an Optimal Load Control Algorithm for Contingency Service", *Electric Power Systems Research*, vol. 167, pp. 86-93, 2019.
38. S. R. Deeba, Prabir Barooah, R. Sharma, Jonathan Brooks<sup>†</sup>, and T. K. Saha, "A Customer Centric Approach to the Use of Residential Batteries for Distribution Network Support", *IEEE Transactions on Smart Grid*, vol. 10(5), pp. 5449 - 5457, Sept. 2019.
37. Jonathan Brooks<sup>†</sup> and Prabir Barooah, "Consumer-aware distributed demand-side contingency service in the power grid", *IEEE Transactions on Control of Network Systems*, vol. 5(4), 2018, pp. 1987 - 1997, Dec. 2018.
36. Austin Coffman<sup>†</sup> and Prabir Barooah, "Simultaneous identification of dynamic model and occupant-induced disturbance for commercial buildings", *Building and Environment*, vol. 128, pp. 153-160, January 2018.
35. Yashen Lin<sup>†</sup>, Prabir Barooah, and Johanna Mathieu, "Ancillary services through demand scheduling and control of commercial buildings", *IEEE Trans. on Power Systems*, vol. 32, issue: 1, January 2017, pp. 186 - 197.
34. Chenda Liao<sup>†</sup> and Prabir Barooah, "An Algorithm for Accurate Distributed Time Synchronization in Mobile Wireless Sensor Networks from Noisy Difference Measurements", *Asian Journal of Control*, Feb 2016, DOI: 10.1002/asjc.1348.
33. Sean Meyn, Prabir Barooah, Ana Bušić, Yue Chen and Jordan Ehren, "Ancillary Service to the Grid Using Intelligent Deferrable Loads", *IEEE Transactions on Automatic Control*, Volume: 60, Issue: 11, 2015, pp. 2847-2862.
32. L. Arpan, P. Barooah and R. Subramany<sup>†</sup>, "The role of values, moral norms, and descriptive norms in building occupant responses to an energy-efficiency pilot program and to framing of related messages", *Applied Environmental Education and Communication*, vol. 14, issue 1, 2015, pp. 23-32.
31. Jonathan Brooks<sup>†</sup>, Saket Kumar<sup>†</sup>, Siddharth Goyal<sup>†</sup>, Rahul Subramany<sup>†</sup> and Prabir Barooah, "Energy-efficient control of under-actuated HVAC zones in commercial buildings", *Energy and Buildings*, doi: 10.1016/j.enbuild.2015.01.050, v. 93, pp. 160-168, April 2015,
30. Siddharth Goyal<sup>†</sup>, Prabir Barooah, and Timothy Middelkoop, "Experimental study of occupancy-based control of HVAC zones", *Applied Energy*, vol. 140, pp. 75-84, February, 2015.

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- **Journal articles under review**
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4. Austin Coffman<sup>†</sup>, Ana Bušić, and Prabir Barooah, “A unified framework for coordination of thermostatically controlled loads”, August 2021 (available at <https://arxiv.org/abs/2108.05840>).
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2. Satish Narayanan, Prabir Barooah and J. M. Cohen, “Experimental Study of the Coherent Structure Dynamics and Control of an Isolated Jet in Cross Flow”, AIAA paper no. 2002-0272, presented at the *40th AIAA Aerospace Sciences Meeting and Exhibit*, Jan. 14-17, 2002, Reno, Nevada.
1. Prabir Barooah and J. Q. Sun, “Flow Control in Resin Transfer Molding with Switching and Feedback Strategies”, in proceedings of the *2000 American Control Conference*, June 28-30, 2000, Chicago.

#### Invited Conference Publications

1. He Hao<sup>†</sup>, Timothy Middelkoop, Prabir Barooah and Sean Meyn, “How demand response from commercial buildings will provide the regulation needs of the grid”, 50th Annual Allerton Conference on Communication, Control and Computing, October 2012

#### • Popular Media

1. P. Barooah, “With rooftop solar, it’s not just about the carbon reduction”, *The Hill*, Oct 8, 2021, <https://tinyurl.com/4c4pd5za>.
2. P. Barooah, “Low hanging fruit vs. high-minded farce”, *The Assam Tribune*, January 1, 2022, [www.assamtribune.com](http://www.assamtribune.com).

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#### • Applied

2. Prov. U.S. patent Appl. No. 63/029,806, “Smart energy management systems and methods for power system resiliency”, Inventor: Prabir Barooah, filed May 26, 2020. Assignee: University of Florida Research Foundation, Inc.
1. U.S. Patent Application Publication No. US 2021/0018206 A1, Inventor: Prabir Barooah, “Model Predictive Control-Based Building Climate Controller Incorporating Humidity”. Assignee: University of Florida Research Foundation, Inc.

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5. U. S. Patent US10230240 B2, Prabir Barooah and Sean P. Meyn, “Low frequency ancillary power grid services”, issued March 12, 2019. Assignee: University of Florida Research Foundation, Inc.
4. U. S. Patent no. 10,169,833 B2, Prabir Barooah and Sean P. Meyn, “Using customer premises to provide ancillary services for a power grid”, issued Jan 1, 2019. Assignee: University of Florida Research Foundation, Inc.
3. U. S. Patent no. 10,047,968 Prabir Barooah, Rahul Subramany and Siddharth Goyal, “Comfortable energy-efficient control of an air handling unit for a variable-air-volume system”, Issued August 14, 2018. Assignee: University of Florida Research Foundation, Inc.
2. U.S. Patent no. 9,213,938 B2, “Systems and methods for estimating pose”, Siddhartha S. Mehta, Warren E. Dixon, Prabir Barooah, Issued Dec 15, 2015. Assignee: University of Florida Research Foundation, Inc.
1. U.S. patent no. 6,543,224 B1, “System and method for controlling shape memory alloy actuators”, issued April 8, 2003. Assignee: United Technologies, Inc.

SERVICE AT  
UNIVERSITY  
OF FLORIDA

- **Departmental service** Group lead of the Dynamics, Systems and Controls group in MAE (Mechanical and aerospace Engineering) at UF (University of Florida) since Dec. 2020. Served in faculty search committees in the MAE and ECE Dept. at the University of Florida in multiple search cycles, in MAE seminar and awards committees for several years, and on MS admissions committee.

- Member, University of Florida Graduate Council (2020-).
- Member, UF Climate Action Plan Working Group on the built environment (2020 -).

NEWS ITEMS

- **UF News** “Energy is supposed to make our lives better”, as part of the series “Creating the world to come”, Dec. 14, 2018, Available <http://news.ufl.edu/articles/2018/12/creating-the-world-to-come.php>
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